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**\$ 6KDUHKROGHU**

)XOO 1DPH  1DWLRQDO ,GHQWLW\ &DUG 3DVVSRUW &RPSDQ\

&XUHQW \$GAGU

&RQWDFW 1XPEHU V  0DOGLYHV 6HFXULWLHV 'HSRVLWRU\ 06' \$FFR

6KDUH &HUWL\*FDWH 1R  1R RI 6KDUHV

6LJQDWXUH &RPSDQ\ 6HDO IRU /HJDO (QWLWLHV

Date

**6KDUHKROGHUV XQGHU WKH DJH RI \HUV RU WKR VH VXEMHF SOHDVH SURYLGH EHORZ WKH GHWDLOV RI WKH \*X**

)XOO 1DPH  1DWLRQDO ,GHQWLW\ &DUG 3DVVSRUW 1R

6LJQDWXU

Date

**'HFODUDWLRQ**

, GHFODUH WKDW , KDYH DSSRLQWHG WKH SHUVRQ QDPHG LQ 6HFWRQ % EHORZ WR DW KHOG LQ RI 2RUHGRR 0DOGLYHV 3/&

**% 3UR[\**

)XOO 1DPH  1DWLRQDO ,GHQWLW\ &DUG 3DVVSRUW 1R

\$GGLV  &RQWDFW 1XPEHU V

**c**

**&RPSDQ\ 8VH**

)RUP 5HFHLYHG E\  6LJQDWXU

'HVLJQDWLRQ

Date

1DWLRQDO ,GHQWLW\ &DUG 1,& 1XPEHU IRU 0DOGLYLDQV 3DVVSRUW 1XPEHU IRU )RUHLJQHU ,I \RX DUH QRW VXUH RI WKH 06' \$FFRQW 1R 6KDUH &HUWL4FDWH 1R 1R RI 6KDUH V \RX P \*XDUGLDQ RQ UHFRUG ZLWK WKH &RPSDQ\ WR VLJQ IRU 6KDUHKROGHUV XQGHU WKH DJH RI \$Q\ SHUVRQ VLJQLQJ WKH 3UR[\ )RUP DV WKH \*XDUGLDQ RI D 6KDUHKROGHU E\ YLUWXUH RI D VKRXOG DWDFK D FRS\ RI VXFK RUGHU WR WKL 3UR[\ )RUP 1,& 1XPEHU IRU 0DOGLYLDQV 3DVVSRUW 1XPEHU IRU )RUHLJQHU ,I WKH \*XDUGLDQ DQG RU 3UR[\ LV D 6KDUHKROGHU D FRS\ RI WKH 1,& 'DWD SDJH RI WKH 3DV ,I WKH \*XDUGLDQ DQG RU 3UR[\ LV 127 D 6KDUHKROGHU D FRS\ RI WKH 1,& 'DWD SDJH RI WKH 1RWHV /HJDO (QWLWLHV 0867 SURYLGH D %RDUG 5HVROXWLRQ DSSRLQLQJ WKH 3UR[\ 6KDUHKROGHUV ZKR ZLVK WR DSSRLQW D 3UR[\ PXVW VXEPLW <sup>W</sup>D) GRU F&RPSOWWHG+3L6K(QC %RGXWKDNXUXIDDQX 0DJX 0DOH RU HPDLO WKH IRUP WR LQYHVWRU <sup>W</sup>D)HEDWLEQV#RRUHGR PY 3UR[\ KROGHUV DUH UHTXHVWHG WR EH SUHVHQW DW 'KDUXEDDUXJH %RGXWKDNXUXID KUV WR KUV <sup>U</sup>RDUEKQGD\SULRU WR HQWHULQJ WKH \$\*0 YHQXH 3OHDVH SUHVHQW \RXU IRU UHJLVWUDWLRQ 6KDUHKROGHUV RU 3UR[\ KROGHUV ZKR GR QRW UHJLVWHU DW WKH FRXQW DQG YRWH DW WKH \$\*0 6KDUHKROGHUV XQGHU WKH DJH RI FDQ EH UHSUHVHQWHG DW WKH \$\*0 E\ WKH \*XDUGLDQ R 3UR[\ WR UHSUHVHQW WKH PLQRU 6KDUHKROGHU DW WKH \$\*0 FDQ GR VR E\ VXEPLWQLQJ D 3UR